



Docket No. 71-009

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3723

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas E. West, Jr.  
Serial Number: 10/020082  
Filed: 12/11/2001  
For: PADS FOR CMP AND POLISHING SUBSTRATES  
GAU: 3723

### INFORMATION DISCLOSURE STATEMENT FILED BEFORE MAILING OF FIRST OFFICE ACTION

June 12, 2002

Honorable Commissioner of Patents and Trademarks  
Washington DC 20231

Sir:

Attached hereto are form PTO-1449 and Form PTO/SB/08B listing documents believed relevant to the subject application. It is respectfully requested that these documents be considered by the examiner and an initialed copy of each form be returned to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. Furthermore, no admission is being made that these documents are prior art and applicant reserves the right to challenge any such conclusion.

It is believed this disclosure complies with the requirement of 37 CFR 1.56 and the Manual of Patent Examining Procedures. It for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 12 June 2002  
Printed name LARRY WILLIAMS  
Signature [Signature]

EXPRESS MAIL #

Date of deposit \_\_\_\_\_

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A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Please telephone the undersigned at (408) 396-1112 if there are any questions regarding this matter.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Larry Williams", with a long horizontal flourish extending to the right.

Larry Williams  
Reg. No. 39,428

122 Calistoga Rd., PMB-301  
Santa Rosa, CA 95409-3702  
Customer #25199

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Form PTO/SB/08B

Sheet 1 of 1.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Docket # **TWI-009**  
Application # **10/020082**  
Applicant: **West, Jr.**  
Filing Date: **12/11/2001**  
GAU: **3723**

**OTHER DOCUMENTS AND NON-PATENT LITERATURE DOCUMENTS**

Initials	Cite		T
	BA	US Application Serial 60/340,819 filed 10/29/2001	
	BB	US Application Serial 60/340,962 filed 10/29/2001	

Examiner Signature

Date Considered

\_\_\_\_\_  
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form in next communication to the applicant.

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Sheet 1 of 2.

Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

Docket # TWI-009  
Application # 10/020082  
Applicant: West, Jr.  
Filing Date: 12/11/2001  
GAU: 3723

**U.S. PATENT DOCUMENTS**

Exami ner Initials	Cite	Patent No.	Issue Date	Name	Class	Subclass	Filing Date
	AA	4728552	03/01/1988	Jensen, Jr.	428	91	07/06/84
	AB	4841680	06/27/1989	Hoffstein et al.	51	283 R	09/20/88
	AC	4927432	05/22/1990	Budinger et al.	51	298	03/25/86
	AD	4954141	09/04/1990	Takiyama et al.	51	296	01/25/89
	AE	5020283	06/04/1991	Tuttle	51	209 DL	08/03/90
	AF	5197999	03/30/1993	Thomas	51	298	09/30/91
	AG	5212910	05/25/1993	Breivogel et al.	51	398	07/09/91
	AH	5232875	08/03/1993	Tuttle et al.	437	225	10/15/92
	AI	5297364	03/29/1994	Tuttle	51	209 R	10/09/91
	AJ	5394655	03/07/1995	Allen et al.	451	63	08/31/93
	AK	5489233	02/06/1996	Cook et al.	451	41	04/08/94
	AL	6017265	01/25/2000	Cook et al.	451	41	01/13/97
	AM	6022268	02/08/2000	Roberts et al.	451	548	04/03/98
	AN	6099954	08/08/2000	Urbanavage et al.	428	314.2	06/08/99
	AO	6126532	10/03/2000	Sevilla et al.	451	526	07/10/98

Examiner Signature

Date Considered

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Form PTO-1449

Sheet 2 of 2

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

Docket # **TWI-009**  
Application # **10/020082**  
Applicant: **West, Jr.**  
Filing Date: **12/11/2001**  
GAU: **3723**

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite	Foreign Patent Document	Publish Date	Name	Pages	T
	CA	WO 96/15887	05/30/1996	Cook et al.		

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form in next communication to the applicant.

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